Docket No.

216926US

IN THE UNITED STATES PATENT AND TRADEMARK OF FICE

1 0 DEC 2001

IN RE APPLICATION OF:

Yasuji HIRAMATSU et al.

SERIAL NO:

New US PCT application based on PCT/JP01/03027

GAU:

FILED:

Herewith

EXAMINER:

FOR:

CERAMIC SUBSTRATE

INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

SIR

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number <u>15-0030</u>. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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ATTY DOCKET NO. SERIAL NO. U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE Form PTO 1449 New US PCT application based 216926US (Modified) on PCT/JP01/03027 **APPLICANT** LIST OF REFERENCES CITED BY APPLICANT Yasuji HIRAMATSU et al. **GROUP** FILING DATE Herewith **U.S. PATENT DOCUMENTS EXAMINER** DOCUMENT SUB FILING DATE DATE NAME **CLASS** CLASS IF APPROPRIATE INITIAL NUMBER AA AB AC ΑD ΑE AF AG ΑH ΑI ΑJ ΑK AL AM ΑN FOREIGN PATENT DOCUMENTS DOCUMENT **TRANSLATION** DATE COUNTRY NUMBER YES NO AO 11-283729 10/15/99 JP No AP 11-40330 02/12/99 JР No AQ AR AS AT ΑU ΑV OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) AW AXΑY

Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Date Considered

ΑZ

Examiner